



Lake Union Building:

1700 Westlake Ave N, Suite 200
Seattle, WA 98109

Mailing Address:

508 Yale Ave. N., PMB 363
Seattle, WA 98109

www.synergosadvice.com

(206) 800-2056

Redmond Office:

8201 164th Ave NE, Suite 200
Redmond, WA 98052

Bellevue Office:

500 108th Ave , Unit 1100
Bellevue, WA 98004

Privacy Policy

January 3rd, 2026

Synergos Advisory LLC (herein referred to as Synergos Advisory) recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. Synergos Advisory will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. Synergos Advisory will provide this privacy statement to all clients annually.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

Use of Artificial Intelligence and Automated Tools

Synergos Advisory LLC may utilize artificial intelligence (“AI”), automated tools, and related technologies as part of its internal operations and in connection with delivering financial planning and advisory services. These technologies may be used to support research, financial analysis, scenario modeling, calculations, report preparation,

document review, workflow automation, and the development or operation of proprietary software tools and web-based interfaces.

AI and automated tools are used **solely as analytical and efficiency-enhancing tools** to support professional judgment. They are not used as a substitute for individualized advice. All outputs generated with the assistance of AI are reviewed by a qualified professional and evaluated using independent judgment prior to being relied upon or shared with clients.

Synergos Advisory does **not input client-identifying information**—such as names, addresses, Social Security numbers, account numbers, dates of birth, or documents containing such information—into publicly available or consumer-grade AI tools. When AI or cloud-based service providers are used in connection with client data, Synergos Advisory seeks to work with providers whose contractual terms prohibit the use of client data for model training, provide encryption in transit and at rest, and offer data retention and deletion controls.

Synergos Advisory may use **de-identified or aggregated data**—information that cannot reasonably be used to identify an individual—for internal analysis, testing, quality improvement, or tool development purposes.

While Synergos Advisory maintains commercially reasonable administrative, technical, and physical safeguards designed to protect client information, no system can be guaranteed to be completely secure. If Synergos Advisory becomes aware of unauthorized access to client data within its possession or control, it will notify affected clients without unreasonable delay and in accordance with applicable law.

What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for us to provide planning or investment management services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm or independent contractors hired by Synergos Advisory. If the Financial Planner/Financial Adviser leaves Synergos Advisory to join another firm, he or she may be permitted to retain copies of client information so that they can assist with the transfer of client accounts and continue to serve the client at their new firm.

“Opting-Out” of Third Party Disclosures: If you do not want your Financial Planner/Financial Adviser to retain copies of your client sensitive information when he or she leaves us to join another firm, you may contact us by calling 206.800.8056.

Otherwise, Synergos Advisory will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with Synergos Advisory LLC will be subject to confidentiality agreements.

How We Protect Your Personal Information

Privacy has always been important to Synergos Advisory. We restrict and limit access to client information only to those who need to carry out their business functions. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information.